



Dkt. 64682-Z/JPW/AG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ponnappakkam Adikesavan Loka Bharathi et al.  
Serial No.: 10/645,131  
Filed : August 21, 2003  
For : PROCESS FOR THE PREPARATION OF AN EXTRACT WITH  
CAROTENOIDs, UV ABSORPTION ANTIBACTERIAL AND pH  
INDICATING PROPERTIES FROM A DEEP SEA BACTERIUM

1185 Avenue of the Americas  
New York, New York 10036  
March 31, 2004

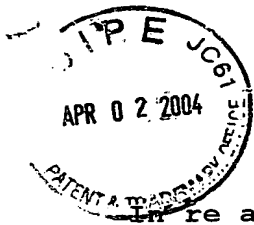
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

SECOND PRELIMINARY AMENDMENT

This Preliminary Amendment is submitted in connection with the above-identified application which is a divisional application of U.S. Serial No. 09/825,406 filed April 3, 2001, now U.S. Patent 6,669,994 issued December 30, 2003.

Please amend the subject application as follows:



Docket No. 0640/64682-Z/JPW/AG

In re application of: Ponnappakkam Adikesavan Loka Bharathi et al.

Serial No.: 10/645,131

Filed: August 21, 2003

For: PROCESS FOR THE PREPARATION OF AN EXTRACT WITH CAROTENOIDS, UV  
ABSORPTION ANTIBACTERIAL AND pH INDICATING PROPERTIES FROM A DEEP SEA  
BACTERIUM

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 31, 2004

S I R:

Transmitted herewith is a preliminary amendment to the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. § 1.27 is enclosed.

X No fee is required.

The filing fee is calculated as follows:

	NUMBER AFTER AMEND- MENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		NUMBER OF EXTRA CLAIMS PRESENTED		RATE		FEE	
							SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	19	-	20	=	0	X	9	18	=	0
Indepen- dent Claims	5	-	5	=	0	X	43	86	=	0
Multiple Dependent Claim(s) Presented _____ Yes <u>X</u> No For First Time:							145	290		0
							TOTAL ADDITIONAL FEE \$ 0			

\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

\*\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

\*\*\* If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in the space.

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Serial No.: 10/645,131  
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The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment of the number of claims as originally filed.

\_\_\_\_\_ Please charge Deposit Account No. \_\_\_\_\_  
In the amount of \$ \_\_\_\_\_.

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is enclosed.

  X   The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3125. Three Copies of this sheet are enclosed.

  X   Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.

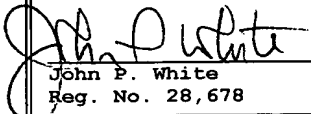
  X   Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

 3/31/04  
John P. White Date  
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